PTO/SB/05 (11-00)

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## UTILITY **PATENT APPLICATION TRANSMITTAL**

021258-000200US Attorney Docket No. First Inventor Owens, Gary K. METHODS AND COMPOSITIONS FOR EXPRESSING POLYNUCLEOTIDES SPECIFICALLY IN SMOOTH MUSCLE CEL IN VIVO Express Mail Label No. EL670763261US

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

	1							
APPLICATION ELEMENTS  See MPEP chapter 600 concerning design patent application contents.	ADDRESS TO  Assistant Commissioner for Patents Box Patent Application Washington, DC 20231							
Fee Transmittal Form (e.g., PTO/SB/17)     (Submit an original and a duplicate for fee processing)  Applicant claims small entity status. See 37 CFR 1.27.  Specification [Total Pages 84] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper number of pages  c. Statements verifying identity of above copies							
Reference to sequence listing, a table, or     a computer program listing appendix	ACCOMPANYING APPLICATIONS PARTS							
<ul> <li>Background of the Invention</li> <li>Brief Summary of the Invention</li> <li>Brief Description of the Drawings ( if filed)</li> <li>Detailed Description</li> <li>Claim(s)</li> </ul>	9. Assignment Papers (cover sheet & document(s)) 10. 37 C.F.R.§3.73(b)Statement Power of (when there is an assignee) Attorney							
- Abstract of the Disclosure	11. English Translation Document (if applicable)							
4. Drawing(s) (35 U.S.C.113) [Total Sheets 25 ] 5. Oath or Declaration [Total Pages ]	12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations							
a. Newly executed (original or copy)	13. Preliminary Amendment							
b. Copy from a prior application (37 CFR 1.63 (d))  (for a continuation/divisional with Box 18 completed)	14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)							
i. T DELETION OF INVENTOR(S)	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)							
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent  17. ☑ DO NOT CHARGE FEES AT THIS TIME.							
6. Application Data Sheet. See 37 CFR 1.76								
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No: 09 / 600,319  Prior application information: Examiner Unknown  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
19. CORRESPON	IDENCE ADDRESS							
☑ Customer Number or Bar Code Label 20350 or ☐ Correspondence address below (Insert Customer No. or Attach bar code label here)								
Name								
Address								
Address								
City State	Zip Code							
Country Telephone	Fax							
Name (Print/Type) Hugh Wang	Registration No. (Attorney/Agent) 47,163							
Signature Statement	Date January 24, 2002							

Burden Hour Statement: This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.PA 3197408 v1

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

## DO NOT CHARGE FEES AT THIS TIME.

TOTAL AMOUNT OF PAYMENT

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	Complete if Known	
	Tompiete ii raioiiii	
Application Number	Not yet assigned	
Filing Date	January 24, 2002	
First Named Inventor	Owens, Gary K.	
Examiner Name	Not yet assigned	
Group Art Unit	Not yet assigned	
Attorney Docket No.	021258-000200US	

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	_							Large Fee	Entity Fee	Small Fee	Entity Fee		Fee
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	Numbe							105	130	205	65	Surcharge - late filing fee or oath	
	Deposi							127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
	Accou	nt	Towns	send an	d Townsend ar	nd Crew LL	P	139	130	139	130	Non-English specification	
	Name							147	2,520	147	2,520	For filing a request for reexamination	
	Ui	nder 37 C	CFR 1.16	and 1.1				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	S	pplicant c See 37 CF	FR 1.27		y status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2.		Paymen	it Enclos	sed:				115	110	215	55	Extension for reply within first month	
	□ CI	heck	☐ Cree	dit card			Other	116	400	216	200	Extension for reply within second month	
_					Orde			117	920	217	460	Extension for reply within third month	
1.	B/	ASIC FIL			ALCULATION			118	1,440	218	720	Extension for reply within fourth month	
Lar		Entity S		Entity				128	1,960	228	980	Extension for reply within fifth month	
Fee	_	-		•	Fee Descripti	ion		119	320	219	160	Notice of Appeal	
Co	de /	(\$) C	Code (	(\$)	•		Fee Paid	120	320	220	160	Filing a brief in support of an appeal	
101					Utility filing fee	<del>)</del>	-0-	121	280	221	140	Request for oral hearing	
106				165 255	Design filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
108	, .	740 2	208 3		Reissue filing			140	110	240	55	Petition to revive – unavoidable	
114	Į.				Provisional filir			141	1,280	241	640	Petition to revive – unintentional	
								142	1,280	242	640	Utility issue fee (or reissue)	
			SUI	BTOTA	L (1)		(\$)-0-	143	460	243	230	Design issue fee	
2	FYTR/	A CLAIM	FFES					144	620	244	310	Plant issue fee	
4.	EA IIV	1 OFWIII	FELS		Extra F	Fee from	Fee	122	130	122	130	Petitions to the Commissioner	
Total	l Claims	s 34				below \$9	Paid = \$-0-	123	50	123	50	Petitions related to provisional applications	
Indep Claim	endent ns	4	-3**	<b>-</b> [	1 X	\$42	= \$-0-	126	180	126	180	Submission of Information Disclosure Stmt	
Multip Depe	ple endent			_	×[		=	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Lar Fee	_	Entity Fee	Small Fee	Entit	•	• 4		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Co.		(\$) 18	Code 203	( <b>\$</b> )	Fee Descri Claims in e	•	)	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
102		84	202	42			excess of 3	l,		-74			
104		280	204	140	•		aim, if not paid	179	740	279	370 I	Request for Continued Examination (RCE)	
109	)	84	209	42		independer	nt claims over	169	900	169	900	Request for expedited examination of a design application	
110	,	** Paissue claims in excess of 20 and					Other fee (specify)						
SUBTOTAL (2) (\$)-0-							The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.						
**(	or numb	er previou	islv paid, i	if greater	r; For Reissues.	see above		*Reduc	ed by Bas	sic Filinç	j Fee Pa	aid SUBTOTAL (3) (\$)	

SUBMITTED BY		Co	Complete (if applicable)			
Name (Print/Type)	Hugh Wang	Registration No. (Attorney/Agent)	47,163	Telephone	650-326-2400	
Signature	· de	alex		Date		

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